19-18-02 PATENT

Docket No. 204552021700

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on

November 13, 2001

N. Slaveter

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Tetsuyoshi INOUE, et al.

Serial No.:

to be assigned

Filing Date:

November 13, 2001

For:

SEMICONDUCTOR LASER

MANUFACTURING METHOD AND

SEMICONDUCTOR LASER

Examiner: to be assigned

Group Art Unit: to be assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. A copy of each document is also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with the application; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein. dc-287210

The information contained in this Information Disclosure Statement under

37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation

that: (i) a complete search has been made; (ii) additional information material to the examination

of this application does not exist; (iii) the information, protocols, results and the like reported by

third parties are accurate or enabling; or (iv) the above information constitutes prior art to the

subject invention.

In the event that the transmittal letter is separated from these documents and the Patent

and Trademark Office determines that an extension and/or other relief is required, Applicants

petition for any required relief including extensions of time and authorizes the Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of these

documents to Deposit Account No. 03-1952, referencing 204552021700.

Dated: November 13, 2001

Respectfully submitted,

Barry E. Bretschneider Registration No. 28,055

Morrison & Foerster LLP

2000 Pennsylvania Avenue, N.W.

Washington, D.C. 20006-1888

Telephone: (202) 887-1545

Facsimile: (202) 263-8396

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